**COMPLIANCE CONTROL**

# CERTIFICATE OF PCI PIN SECURITY COMPLIANCE

This is confirmation that the Entity:

## CJSC "Markazi Tekhnologiyahoi Muosir"

Has been assessed by Compliance Control Ltd. and was found to be compliant with
PCI PIN Security Requirements 3.1
It was confirmed by security assessment performed by QPAs of Compliance Control Ltd.

| | |
|---|---|
| Certificate is valid till: | **6 March 2025** |
| PCI PIN Security standard version: | **3.1** |
| Certificate No. | **SWOP-9Q9R-M2GH** |

Issue date:
7 March 2023

Ivan Tverdokhlebov
Authorised Officer

Signature

# Payment Card Industry (PCI)
# PIN Security Requirements

# Attestation of Compliance for
# Onsite Assessments

**For use with PIN Security Requirements v3.1**

**Revision 1.0b**

March 2021

# Section 1: Assessment Information

## Instructions for Submission

This Attestation of Compliance must be completed as a declaration of the results of the assessment of the subject entity compliance with the *Payment Card Industry PIN Security Requirements and Test Procedures* (PCI PIN). Complete all sections: The entity is responsible for ensuring that each section is completed by the relevant parties, as applicable. Contact the entity requesting the assessment ( e.g. Payment Brand) for reporting and submission procedures.

## Part 1.  Entity and Qualified PIN Assessor (QPA) Information

### Part 1a. Entity Organization Information

| | | | |
|---|---|---|---|
| Company Name: | CJSC "Markazi Tekhnologiyahoi Muosir" | | |
| DBA (doing business as): | CJSC "Markazi Tekhnologiyahoi Muosir" | Business Identifier: | |
| Contact Name: | Olim Karimov | Title: | CISO |
| Telephone: | (+992) 88 000 90 99; (44) 600 07 05 | E-mail: | o.karimov@mtm.ti |
| Business Address: | 37/1a Bokhtar str, Bus iness Center "Bokhtar" building, office #906 | City: | Dushanbe |
| State/Province: | Dushanbe | Country: | Tajikistan | Postal Code: | 734000 |
| URL: | https://mtm.tj | | |

### Part 1b. Qualified PIN Assessor Company Information (if applicable)

| | | | |
|---|---|---|---|
| Company Name: | Compliance Control Ltd. | | |
| Lead QPA Contact Name: | Ivan Tverdokhlebov | Title: | Security Expert |
| Telephone: | +372 600 44 64 | E-mail: | ivan@compliance-control.eu |
| Business Address: | Punane tn. 16/1-414 | City: | Tallinn |
| State/Province: | Harju | Country: | Russia | Postal Code: | 13619 |
| URL: | www.compliance-control.eu | | |

## Part 2.  Executive Summary

### Part 2a. Scope Verification

**Services that were INCLUDED in the scope of the PCI PIN Assessment** (check all that apply):

Type of service(s) assessed:

☒ PIN Acquirer Payment Processing - POS

☒ PIN Acquirer Payment Processing - ATM

☐ Remote Key Distribution Using Asymmetric Keys – Operations

☐ Certification and Registration Authority Operations

☐ Key-injection Facilities

☐ Others (specify):

*Note: These categories are provided for assistance only, and are not intended to limit or predetermine an entity's service description. If you feel these categories don't apply to your service, complete "Others." If you're unsure whether a category could apply to your service, consult with the applicable payment brand.*

## Part 2a. Scope Verification *(continued)*

**Services that are provided by the entity but were NOT INCLUDED in the scope of the PCI PIN Assessment** (check all that apply):

Type of service(s) not assessed:

☐ PIN Acquirer Payment Processing - POS

☐ PIN Acquirer Payment Processing - ATM

☐ Remote Key Distribution Using Asymmetric Keys - Operations

☐ Certification and Registration Authority Operations

☐ Key-injection Facilities

☐ Other (specify):

| Provide a brief explanation why any checked services were not included in the assessment: | |
|---|---|

## Part 2b. Locations

List types of facilities (for example, data centers, key-injection facilities, certification authority operations, etc.) and a summary of locations included in the PCI PIN review.

| Type of facility assessed: | Date of Assessment | Location(s) of facility (city, country): |
|---|---|---|
| *Example: Data Center* | *18-20 June, 2019* | *Boston, MA, USA* |
| Office | 8 February, 2023 | Dushanbe, Tajikistan |
| Datacenter | 8 February, 2023<br>17 February, 2023 | Dushanbe, Tajikistan |
| | | |
| | | |
| | | |
| | | |

## Part 2c. Summary of Requirements Tested

For each PCI PIN Requirement, select one of the following:

- **Full** – The requirement and all sub-requirements of that requirement were assessed, and no sub-requirements were marked as "Not Tested" or "Not Applicable" in the ROC.

- **Partial** – One or more sub-requirements of that requirement were marked as "Not Tested" or "Not Applicable" in the ROC.

- **None** – All sub-requirements of that requirement were marked as "Not Tested" and/or "Not Applicable" in the ROC.

For all requirements identified as either "Partial" or "None," provide details in the "Justification for Approach" column, including:

- Details of specific sub-requirements that were marked as either "Not Tested" and/or "Not Applicable" in the ROC

- Reason why sub-requirement(s) were not tested or not applicable

| Part 2c. Summary of Requirements Tested *(continued)* | | | |
|---|---|---|---|
| | **Details of Control Objectives Assessed** | | |
| **PCI PIN Control Objective** | **Full** | **Partial** | **None** | **Justification for Approach** (Required for all "Partial" and "None" responses. Identify which sub-requirements were not tested and the reason.) |
| Control Objective 1: | ☐ | ☒ | ☐ | 2-1 - N/A as Entity does not have own PIN points of entry |
| Control Objective 2: | ☒ | ☐ | ☐ | |
| Control Objective 3: | ☒ | ☐ | ☐ | |
| Control Objective 4: | ☐ | ☒ | ☐ | 13-3 N/A as Electronic medias are not used for key loading. |
| Control Objective 5: | ☐ | ☒ | ☐ | 20-2 N/A as communications with different acquirers are not supported by POIs |
| Control Objective 6: | ☒ | ☐ | ☐ | |
| Control Objective 7: | ☒ | ☐ | ☐ | |
| Annex A1 – Control Objective 3: | ☐ | ☐ | ☐ | N/A |
| Annex A1 – Control Objective 4: | ☐ | ☐ | ☐ | N/A |
| Annex A1 – Control Objective 5: | ☐ | ☐ | ☐ | N/A |
| Annex A1 – Control Objective 6: | ☐ | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 3 | ☐ | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 4: | ☐ | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 5: | ☐ | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 6: | ☐ | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 7: | ☐ | ☐ | ☐ | N/A |

## Part 2c. Summary of Requirements Tested (continued)

| PCI PIN Control Objective | Details of Control Objectives Assessed | | | |
|---|---|---|---|---|
| | **Full** | **Partial** | **None** | **Justification for Approach**<br>(Required for all "Partial" and "None" responses. Identify which sub-requirements were not tested and the reason.) |
| Annex B – Control Objective 1: | ☐ | ☐ | ☐ | N/A |
| Annex B – Control Objective 2: | ☐ | ☐ | ☐ | N/A |
| Annex B – Control Objective 3: | ☐ | ☐ | ☐ | N/A |
| Annex B – Control Objective 4: | ☐ | ☐ | ☐ | N/A |
| Annex B – Control Objective 5: | ☐ | ☐ | ☐ | N/A |
| Annex B – Control Objective 6: | ☐ | ☐ | ☐ | N/A |
| Annex B – Control Objective 7: | ☐ | ☐ | ☐ | N/A |

## Section 2: Report on Compliance

This Attestation of Compliance reflects the results of an onsite assessment, which is documented in an accompanying Report on Compliance (ROC).

| | |
|---|---|
| The assessment documented in this attestation and in the ROC was completed on: | *March 7, 2023* |
| Have compensating controls been used to meet any requirement in the ROC? | ☐ Yes   ☒ No |
| Were any requirements in the ROC identified as being not applicable (N/A)? | ☒ Yes   ☐ No |
| Were any requirements not tested? | ☐ Yes   ☒ No |
| Were any requirements in the ROC unable to be met due to a legal constraint? | ☐ Yes   ☒ No |

# Section 3: Validation and Attestation Details

## Part 3. PCI PIN Validation

**This AOC is based on results noted in the ROC dated** *March 7, 2023.*

Based on the results documented in the ROC noted above, the signatories identified in Parts 3b-3c, as applicable, assert(s) the following compliance status for the entity identified in Part 2 of this document (**check one):**

☒ **Compliant:** All sections of the PCI PIN ROC are complete, all questions answered affirmatively, resulting in an overall **COMPLIANT** rating; thereby **CJSC "Markazi Tekhnologiyahoi Muosir"** has demonstrated full compliance with the PCI PIN Security Requirements.

☐ **Non-Compliant:** Not all sections of the PCI PIN ROC are complete, or not all questions are answered affirmatively, resulting in an overall **NON-COMPLIANT** rating, thereby *(Service Provider Company Name)* has not demonstrated full compliance with the PCI PIN Security Requirements.

**Target Date** for Compliance:

An entity submitting this form with a status of Non-Compliant may be required to complete the Action Plan in Part 4 of this document. *Check with the payment brand(s) before completing Part 4.*

☐ **Compliant but with Legal exception:** One or more requirements are marked "Not in Place" due to a legal restriction that prevents the requirement from being met. This option requires additional review from acquirer or payment brand.

*If checked, complete the following:*

| Affected Requirement | Details of how legal constraint prevents requirement being met |
|---|---|
|  |  |
|  |  |

## Part 3a. Acknowledgement of Status

Signatory(s) confirms:

*(Check all that apply)*

☒ The ROC was completed according to the *PCI PIN Security Requirements and Testing Procedures,* Version *3.1,* and was completed according to the instructions therein.

☒ All information within the above-referenced ROC and in this attestation fairly represents the results of my assessment in all material respects.

☒ I have read the PCI PIN and I recognize that I must maintain PCI PIN compliance, as applicable to my environment, at all times.

☒ If my environment changes, I recognize I must reassess my environment and implement any additional PCI PIN requirements that apply.

## Part 3b. Assessed Entity PIN Security Attestation

Signature of Executive Officer of Assessed Entity ↑

| | |
|---|---|
| Assessed Entity Executive Officer Name: | **Olim Karimov** |
| Title: | **CISO** |
| Date: | **March 7, 2023** |

## Part 3c. Qualified PIN Assessor (QPA) Company Acknowledgement

| | |
|---|---|
| Describe the role performed by the QPA and others that participated from within the QPA Company: | QPA was performing assessment and reporting |

Signature of Duly Authorized Officer of QPA Company ↑

| | |
|---|---|
| | Date: **March 7, 2023** |
| Duly Authorized Officer Name: **Ivan Tverdokhlebov** | QPA Company: Compliance Control Ltd. |

## Part 4. Action Plan for Non-Compliant Requirements

Select the appropriate response for "Compliant to PCI PIN" for each requirement. If you answer "No" to any of the requirements, you may be required to provide the date your Company expects to be compliant with the requirement and a brief description of the actions being taken to meet the requirement.

*Check with the applicable payment brand(s) before completing Part 4.*

| PCI PIN Control Objective | Description of Control Objective | Compliant to PCI PIN Control Objective *(Select One)* | | Remediation Date and Actions (If "NO" selected for any Control Objective) |
|---|---|---|---|---|
| | | YES | NO | |
| Control Objective 1: | PINs used in transactions governed by these requirements are processed using equipment and methodologies that ensure they are kept secure. | ☒ | ☐ | |
| Control Objective 2: | Cryptographic keys used for PIN encryption/decryption and related key management are created using processes that ensure that it is not possible to predict any key or determine that certain keys are more probable than other keys. | ☒ | ☐ | |
| Control Objective 3: | Keys are conveyed or transmitted in a secure manner. | ☒ | ☐ | |
| Control Objective 4: | Key-loading to HSMs and POI PIN-acceptance devices is handled in a secure manner. | ☒ | ☐ | |
| Control Objective 5: | Keys are used in a manner that prevents or detects their unauthorized usage. | ☒ | ☐ | |
| Control Objective 6: | Keys are administered in a secure manner. | ☒ | ☐ | |
| Control Objective 7: | Equipment used to process PINs and keys is managed in a secure manner. | ☒ | ☐ | |
| Annex A1 – Control Objective 3: | Keys are conveyed or transmitted in a secure manner. | ☐ | ☐ | N/A |
| Annex A1 – Control Objective 4: | Key-loading to HSMs and POI PIN-acceptance devices is handled in a secure manner. | ☐ | ☐ | N/A |
| Annex A1 – Control Objective 5: | Keys are used in a manner that prevents or detects their unauthorized usage. | ☐ | ☐ | N/A |
| Annex A1 – Control Objective 6: | Keys are administered in a secure manner. | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 3 | Keys are conveyed or transmitted in a secure manner. | ☐ | ☐ | N/A |

| PCI PIN Control Objective | Description of Control Objective | Compliant to PCI PIN Control Objective<br>*(Select One)* | | Remediation Date and Actions<br>(If "NO" selected for any Control Objective) |
|---|---|---|---|---|
| | | YES | NO | |
| Annex A2 – Control Objective 4: | Key-loading to HSMs and POI PIN-acceptance devices is handled in a secure manner. | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 5: | Keys are used in a manner that prevents or detects their unauthorized usage. | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 6: | Keys are administered in a secure manner. | ☐ | ☐ | N/A |
| Annex A2 – Control Objective 7: | Equipment used to process PINs and keys is managed in a secure manner. | ☐ | ☐ | N/A |
| Annex B – Control Objective 1: | PINs used in transactions governed by these requirements are processed using equipment and methodologies that ensure they are kept secure. | ☐ | ☐ | N/A |
| Annex B – Control Objective 2: | Cryptographic keys used for PIN encryption/decryption and related key management are created using processes that ensure that it is not possible to predict any key or determine that certain keys are more probable than other keys. | ☐ | ☐ | N/A |
| Annex B – Control Objective 3: | Keys are conveyed or transmitted in a secure manner. | ☐ | ☐ | N/A |
| Annex B – Control Objective 4 | Key-loading to HSMs and POI PIN-acceptance devices is handled in a secure manner. | ☐ | ☐ | N/A |
| Annex B – Control Objective 5: | Keys are used in a manner that prevents or detects their unauthorized usage. | ☐ | ☐ | N/A |
| Annex B – Control Objective 6: | Keys are administered in a secure manner. | ☐ | ☐ | N/A |
| Annex B – Control Objective 7: | Equipment used to process PINs and keys is managed in a secure manner. | ☐ | ☐ | N/A |